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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/942,426-Conf. #7416
		Filing Date	August 29, 2001
		First Named Inventor	Peter R. Horsfall
		Art Unit	3691
		Examiner Name	O. Akintola
Sheet 1 of 1	Attorney Docket Number	E3331 0525	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² -Number ³ -Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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NON PATENT LITERATURE DOCUMENTS				
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/OA/	CA	Hidekazu Miyoshi, "All about e-Stock Market", First edition, Tokyo Shoseki Co., Ltd., April 25, 2000, Pages 36-49.		
/OA/	CB	Japanese Patent Office Action for corresponding Japanese Application No. 2003-523430, mailed January 6, 2009 (and English translation thereof).		

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Examiner Signature	/Olubode Akintola/ (03/19/2009)	Date Considered	
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